SBI MUTUAL FUND
A PARTNER FOR LIFE

APP No.:

ETF TRANSACTION SLIP (Please fill in CAPITAL Letters)

Please use separate transaction slips for each scheme. This Form is for Existing Investors only.

INVESTOR DETAILS (MANDATORY)

EXISTING FOLIO NO. [| | | | | | | | | |]

Name (Mr/Ms/M/s) [| | | | | | | | | | | | | | | | | | | | | | | | |]

PAN DETAILS

First Applicant/Guardian	Second Applicant	Third Applicant
[									]	[									]	[									]

Legal Entity Identifier (LEI) for Non-Individuals _____ Validity _____

DEMAT ACCOUNT DETAILS

Please ensure that the sequence of names as mentioned in the application form matches with that of the account held the Depository Participant.

National Securities Depository Limited (NSDL)	Central Depository Services (India) Limited (CDSL)
Depository Participant Name _____	Depository Participant Name _____
DP ID No. [I	N						]	Beneficiary Account No.
Beneficiary Account No. [								]	[															]

Enclosures (Please tick) ☐ Client Master List (CML) ☐ Transaction cum Holding Statement

TRANSACTION DETAILS

Purchase : (Please tick) ☐ Cash ☐ Basket   Redemption : (Please tick) ☐ Cash ☐ Basket

SCHEME DETAILS (Please select any one) - Please refer respective SID / KIM for product labeling.

Scheme Name		No. of Units per Basket (a)	No. of Baskets (b)	Total No. of Units in Figures (a x b)	Total No. of Units in Words
SBI Nifty 50 ETF	☐	50000			
SBI S&P BSE Sensex ETF	☐	4000			
SBI Nifty Next 50 ETF	☐	5000			
SBI S&P BSE 100 ETF	☐	100000			
SBI Nifty Bank ETF	☐	5000			
SBI Nifty 10 yr Benchmark G-Sec ETF	☐	5000			
SBI S&P BSE Sensex Next 50 ETF	☐	5000			
SBI Nifty IT ETF	☐	4000			
SBI Nifty Private Bank ETF	☐	8000			
SBI NIFTY 200 Quality 30 ETF	☐	8000			
SBI Nifty Consumption ETF	☐	45000			
SBI Gold ETF	☐	115000			

PAYMENT DETAILS (For Purchase)

Mode of Payment : ☐ Cheque ☐ RTGS ☐ Fund Transfer   Cheque / RTGS/ UTR No. _____

Amount in Figures (Rs) _____   Amount in Words (Rs) _____

Bank Name_____   Bank Branch _____

DECLARATION

I/We confirm that the information provided in this form is true & accurate. I/We have read and understood the contents of all the scheme related documents and I/We hereby confirm and declare that (i) I/We have not received or been induced by any rebate or gifts, directly or indirectly, in making this investment; (ii) the amount invested/to be invested by me/us in the scheme(s) of SBI Mutual Fund ("**the Fund**") is derived through legitimate sources and is not held or designed for the purpose of contravention of any act, rules, regulations or any statute or legislation or any other applicable laws or any notifications, directions issued by any governmental or statutory authority from time to time; (iii) the monies invested by me in the schemes of the Fund do not attract the provisions of Foreign Contribution Regulations Act ("**FCRA**"); (iv) I/We am/are aware that a U.S. person (within the definition of the term 'US Person' under the US Securities laws) / resident of Canada are not eligible for investments with the Fund and I/We am/are not a U.S. person/resident of Canada; (v) * as per the Memorandum and Articles of Association of the Company, Bye laws, Trust Deed or Partnership Deed and resolutions passed by the Company / Firm / Trust, I/We am/are authorised to enter into the transactions for and on behalf of the Company/Firm/Trust; (vi) ** I/We am/are Non Resident of Indian Nationality/Origin and that funds for the subscriptions have been remitted from abroad through approved banking channels or from my/our Non Resident External/Ordinary account/FCNR Account; (vii) all information provided in this application form together with its annexures is/are true and correct to the best of my/our knowledge and belief and I/We shall be liable in case any of the specified information is found to be false or untrue or misleading or misrepresenting; (viii) we authorize you to disclose, share, remit in any form, mode or manner, all / any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/ us to the Fund, its Sponsor, AMC, trustees, their employees/RTAs or any Indian or foreign governmental or statutory or judicial authorities/agencies including but not limited to SEBI, the Financial Intelligence Unit-India, the tax/revenue authorities in India or outside India wherever it is legally required and other such regulatory/investigation agencies or such other third party, on a need to know basis, without any obligation of advising me/us of the same; (ix) I/We shall keep you forthwith informed in writing about any changes/modification to the information provided or any other additional information as may be required by you from time to time; (x) Towards compliance with tax information sharing laws, such as FATCA and CRS: (a) the Fund may be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from investors. I/We ensure to advise you within 30 days should there be any change in any information provided; (b) In certain circumstances (including if the Fund does not receive a valid self-certification from me) the Fund may be obliged to share information on my account with relevant tax authorities; (c) I/We am aware that the Fund may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto; (d) as may be required by domestic or overseas regulators/ tax authorities, the Fund may also be constrained to withhold and pay out any sums from my/our account or close or suspend my account(s) and (e) I/We understand that I am / we are required to contact my tax advisor for any questions about my/our tax residency;
* Applicable to other than Individuals / HUF; ** Applicable to NRIs .

SIGN HERE ✗

_____	_____	_____
1st Applicant/Guardian/ Authorised Signatory	2nd Applicant / Authorised Signatory	3rd Applicant / Authorised Signatory